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Meeting Date	Thursday 13 February 2020	Wednesday 29 April	Thursday 25 June	Tuesday 29 September	Wednesday 25 November	
Location	London	London	London	London	London	
	SLT - 10 Dec, 4 Feb Council - 18-19 Mar	SLT - 3 Mar, 1 April Council - 2-3 June	SLT - 12 May, 15 June, Council - 29-30 July	SLT - 6 July, 11 Aug, 1 Sept Council - 21-22 Oct	SLT - 7 Oct, 9 Nov Council - 16-17 Dec	
	Reporting period: Q4 (Oct, Nov, Dec)	Reporting period: Q1 (Jan, Feb, Mar)	Reporting period: No performance reports	Reporting period: Q2 (April, May, June)	Reporting period: Q3 (July, August, September)	
	FIRST MEETING WITH BOARD PORTAL					
Governance Pathway						
MAIN PIECE OF BUSINESS	Q4 Reporting for 2019	ARA 2019 approval		Q2 - Reporting for 2020	Q3 - Reporting for 2020	Terms of Reference
Annual Report and Accounts	ARA 2019: 1. Content discussion 2. Draft Governance Statement 3. Financial assumptions and issues review <i>To discuss and recommend to Council.</i>	Final ARA 2019: 1. Haysmacintyre and NAO Draft letters of representation and audit reports. <i>To discuss and recommend to Council.</i>		ARA 2020: Draft timeable <i>To discuss and recommend to Council.</i>	ARA 2020 - verbal update. <i>To note.</i>	Scrutiny of Financial Reporting
Strategic Risk	Strategic Risk update and Board Assurance Framework (BAF). (to include re-shaping the FtP timeliness risk) <i>To discuss and recommend to Council.</i>	Strategic Risk update and Board Assurance Framework (BAF). <i>To discuss and recommend to Council.</i>	Strategic Risk update and Board Assurance Framework (BAF). <i>To discuss and recommend to Council.</i>	Strategic Risk update and Board Assurance Framework (BAF). <i>To discuss and recommend to Council.</i>	Strategic Risk update and Board Assurance Framework (BAF). <i>To discuss and recommend to Council.</i>	Review and Oversight of Governance Systems, Risk Management and Internal Controls
	Risk Assurance Deep Dives (various topics) Quality and Timeliness FtP (45 mins)	Risk Assurance Deep Dive- TBC various (45 mins)	Risk Assurance Deep Dive- TBC various (45 mins)	Risk Assurance Deep Dive- TBC various (45 mins)	Risk Assurance Deep Dive- TBC various (45 mins)	Review and Oversight of Governance Systems, Risk Management and Internal Controls
Significant Litigation	Significant Litigation. <i>To note.</i>	Significant Litigation. <i>To note.</i>	Significant Litigation. <i>To note.</i>	Significant Litigation. <i>To note.</i>	Significant Litigation. <i>To note.</i>	Review and Oversight of Governance Systems, Risk Management and Internal Controls
Information Governance	Information Governance - Quarterly Report <i>For noting.</i>	Information Governance - Quarterly Report <i>For noting.</i>		Information Governance - Quarterly Report <i>For noting.</i>	Information Governance - Quarterly Report <i>For noting.</i>	Review and Oversight of Governance Systems, Risk Management and Internal Controls
Case Examiner Feedback	Case examiner quarterly feedback and PSA report update. <i>For noting, by exception only.</i>	Case examiner quarterly feedback and PSA report update. <i>For noting, by exception only.</i>		Case examiner quarterly feedback and PSA report update. <i>For noting, by exception only.</i>	Case examiner quarterly feedback and PSA report update. <i>For noting, by exception only.</i>	Review and Oversight of Governance Systems, Risk Management and Internal Controls
Health and Safety and Business Continuity	Business Continuity and Disaster Recovery. <i>For noting, by exception only.</i>	Health and Safety Annual Report. <i>For noting.</i>	Business Continuity and Disaster Recovery. <i>For noting, by exception only.</i>	Business Continuity and Disaster Recovery. <i>For noting, by exception only.</i>	Business Continuity and Disaster Recovery. <i>For noting, by exception only.</i>	Review and Oversight of Governance Systems, Risk Management and Internal Controls
Insurance		GDC insurance review. <i>For noting.</i>				Review and Oversight of Governance Systems, Risk Management and Internal Controls
Internal Audit	Mazars internal audit annual report. (In-house and Mazars). <i>For noting.</i>	Specialist Audit: FtP Decisions. <i>To discuss.</i>		Mazars internal audit progress report. (In-house and Mazars). <i>For noting.</i>	Mazars internal audit progress report and Annual Internal Audit Report. (In-House and Mazars). <i>For noting.</i>	Review and Oversight of Internal and External Audit
	Mazars 2020 Internal Audit plan. <i>For noting.</i>				Internal Audit Strategy and Global Audit Plan for 2021. (In-House and Mazars). <i>For approval.</i>	Review and Oversight of Internal and External Audit
	Internal Audit Recommendation Tracker and In-House internal update (quarterly). <i>For noting.</i>	Internal Audit Recommendation Tracker and In-House internal update (quarterly). <i>For noting.</i>		Internal Audit Recommendation Tracker and In-House internal update (quarterly). <i>For noting.</i>	Internal Audit Recommendation Tracker and In-House internal update (quarterly). <i>For noting.</i>	Review and Oversight of Internal and External Audit
External Audit			External Audit: 1. Effectiveness Evaluation 2. Re-appointment 3. External Audit Annual Fee. <i>To approve. Delegated.</i>		External Audit Planning Report: year end 2020 (haysmac and NAO) <i>To approve.</i>	Review and Oversight of Internal and External Audit
Audit reporting	Audit Reports on: 1. KPIs 2. Project and Programmes Financial Forecasting and Budgetary Controls	Individual internal audit reports. (Mazars). <i>For noting.</i>		Individual internal audit reports. (Mazars). <i>For noting.</i>		Review and Oversight of Internal and External Audit
Whistleblowing	Whistleblowing update. <i>For noting, as required.</i>	Whistleblowing update. <i>For noting, as required.</i>	Whistleblowing update. <i>For noting, as required.</i>	Whistleblowing update. <i>For noting, as required.</i>	Whistleblowing update. <i>For noting, as required.</i>	Review and oversight of whistleblowing, fraud and investigations
	Whistleblowing Policy Review. <i>To discuss and recommend to Council.</i>			Whistleblowing Policy Review. <i>To discuss and recommend to Council.</i>		Review and oversight of whistleblowing, fraud and investigations
			Whistleblowing Annual Report (internal). <i>For noting.</i>	Joint Whistleblowing Report. <i>For noting.</i>		Review and oversight of whistleblowing, fraud and investigations
Anti-fraud, anti-bribery and corruption					Anti Fraud and Anti Bribery policy review. <i>To discuss and recommend to Council.</i>	Review and oversight of whistleblowing, fraud and investigations
Governance		Scheme of Delegations. <i>To discuss and recommend to Council.</i>				Review and Oversight of Governance Systems, Risk Management and Internal Controls
		Terms of Reference Review - following Council. <i>To discuss and recommend to Council.</i>		Committee Effectiveness, <i>To discuss.</i>	Annual report to Council and review TORs. <i>To discuss and recommend to Council.</i>	Review and Oversight of Governance Systems, Risk Management and Internal Controls
	Work programme 2020. <i>For noting.</i>	Work programme 2020. <i>For noting.</i>	Work programme 2020. <i>For noting.</i>	Work programme 2020. <i>For noting.</i>	Work programme 2020. <i>For noting.</i>	Review and Oversight of Governance Systems, Risk Management and Internal Controls