Council Members’ Declarations of Interest Report 2017

| Purpose of paper | This report serves a dual-purpose:  
|                  | • to present an overview of interests declared by members of the Council;  
|                  | • to provide assurance that Council members are meeting the requirements set out in the ‘Managing Interests Policy for Council Members and Associates’. |
| Action           | For noting |
| Status           | Public session |
| Corporate Strategy 2016-19 | **Performance Objective 3**: To be transparent about our performance so that the public, patients, professionals and our partners can have confidence in our approach. |
| Business Plan 2017 | **Priority 1**: Continue to build a cost effective and efficient organisation. |
| Decision Trail   | A new reporting requirement to present a report on Council members’ declarations of interest was introduced in July 2016 when the Council approved the revised ‘Managing Interests Policy for Council Members and Associates’. |
| Next stage       | Not applicable |
| Recommendations  | The Council is asked to note the contents of the report. |
| Authorship of paper and further information | Clare Mitchell  
|                                                      | Head of Governance  
|                                                      | 020 7167 6348  
|                                                      | cmitchell@gdc-uk.org  
|                                                      | Mohit Abbi  
|                                                      | Interim Governance Manager  
|                                                      | 020 7167 6343  
|                                                      | mabbi@gdc-uk.org |
| Appendices       | None |
1. Executive summary

1.1. Following the introduction of a new reporting requirement by the Council in July 2016, this report seeks to:

- present an overview of interests declared by members of the Council;
- provide assurance that Council members are meeting the requirements set out in the 'Managing Interests Policy for Council Members and Associates'

2. Introduction and background

2.1. The General Dental Council (GDC) is committed to supporting transparency, probity and compliance with the ‘Seven Principles of Life’ (Nolan Principles), to maintain confidence in the regulatory process and protect the GDC and Council members from any appearance of impropriety.

2.2. Council members are expected to act and be seen to act impartially and objectively in carrying out the GDC’s business, taking steps to avoid any actual or perceived conflict of interests arising as a result of their membership of, or association with, other organisations, groups or individuals.

2.3. The GDC has established systems and processes to manage relevant and material interests of Council members. In accordance with these, Council members are required to:

2.3.1. operate in accordance with the GDC’s Standing Orders for the Conduct of Business 2016 (hereafter ‘Standing Orders’);
2.3.2. declare any relevant and material interests in accordance with the ‘Managing Interests Policy for Council Members and Associates’;
2.3.3. ensure that details of their interests are accurate and up to date.

3. Managing interests

3.1. On appointment, Council members must declare all interests by completing the ‘Declarations of Interest Form’. Thereafter, Council members must review and update their interests every three months. The Dentists Act 1984 requires the publication of Council members’ declarations of interest and these are available on the GDC website.

3.2. There is a requirement for Council members to declare interests relevant to an item on the agenda or otherwise raised at the meeting (i.e. ‘Any Other Business’), before discussion of the item commences. The Council and its Committees include ‘Declarations of Interest’ as a standing item on their agendas, providing an opportunity for members to disclose interests pertaining to any item for consideration by the Council/Committee. In the event a member does not appreciate at the beginning of a discussion that an interest exists, s/he must declare such an interest as soon as s/he becomes aware of it.

3.3. Notwithstanding the guidance on managing interests, the GDC encourages exercising caution in any case of doubt and the Chair of the Council/Committee will decide whether the interest is prejudicial. In the event a member believes that another member present has an interest in an item for consideration that has not been declared, this will be raised with the Chair who will make a ruling based on whether an interest exists. In both instances, the Chair’s ruling will be final.

3.4. Where the Chair has declared an interest, or is considered to have an interest, members of the Audit and Risk Committee shall decide whether that interest is prejudicial. The Chair of the Audit and Risk Committee’s ruling on this will be final.

3.5. In any event of a conflict of interest, members with vested interests will not count towards the quorum for the meeting whilst the matter in question is under consideration. Where the matter
in question relates directly to that member, s/he will leave the meeting whilst the matter in question is under discussion and may re-join the meeting after a resolution has been reached.

4. Monitoring conflicts of interest

4.1. The Chair of the Council reviews any changes to the interests of Council members quarterly to ensure that all interests are being managed appropriately

4.2. The Governance team facilitates the managing interests process for the Council:

- A copy of the ‘Governance Manual for Council Members of the GDC’ is provided to Council members, which includes the ‘Managing Interests Policy for Council Members and Associates’ and practical guidance for managing interests;
- Reminders are sent periodically to Council members to complete/update declarations of interests;
- The Chair reviews completed declarations from new Council members and those of existing Council members where changes have occurred;
- Once reviewed by the Chair, new declarations and changes to existing declarations are published on the GDC website;
- A ‘Register of Interests’ is for Council members is maintained;
- Council and Committee agendas include ‘Declarations of Interest’ as a standing item.

5. Conflicts of interest review

5.1. In August 2017, an Internal Compliance audit was completed of the Managing Interests Policy across the organisation, testing to ensure that registers of interests are being updated in line with the requirements set out in line with policy. This audit covered the declarations of interest for Council members, Associates and GDC staff.

5.2. The audit received an overall assurance level of ‘Satisfactory’ and revealed that the policies and procedures were working well, with overall compliance at 90%. Council member compliance was 100%. A number of recommendations were made to ensure consistency of implementation of the process across all groups.

6. Council members’ interests

## Register of Council Members’ Interests – November 2017

<table>
<thead>
<tr>
<th>Name and Position</th>
<th>Position</th>
<th>Declared Interest(s)</th>
</tr>
</thead>
</table>
| William Moyes     | Chair of the Council | Employment  
  - Chair of the Gambling Commission (remunerated)  
  - Chair of the Board of Directors of St Mary’s Music School, Edinburgh (non-remunerated)  
  - Director of the Albertus Institute, Edinburgh (non-remunerated) |
| Anne Heal         | Council Member | Employment  
  - Ofqual Board Member (remunerated)  
  - ORR Board Member (remunerated)  
  - Chair, Thames Water Customer Challenge Group (remunerated)  
  - Director, Anne Heal Associates (remunerated)  
  Memberships/associations  
  - FRSA |
| Caroline Logan    | Council Member | Employment  
  - Manager of Dental Nursing and Technology Education, Leeds Teaching Hospitals Trust (remunerated)  
  Memberships/associations  
  - Registered as a Dental Technician with the General Dental Council |
| Catherine Brady   | Council Member | Employment  
  - Director, Bloxdent Ltd (remunerated)  
  - Provider / Performer, Bloxham Dental Practice (remunerated)  
  - Training Programme Director for Foundation Dentists, Health Education Thames Valley and Wessex (remunerated)  
  - Associate Clinical Director, Rodericks Ltd (remunerated)  
  Memberships/associations  
  - Dental Protection Society  
  - British Dental Association  
  Connected person(s)  
  - Daughter – first year Dental Student at Newcastle Dental School |
| Crispin Passmore  | Council Member and Chair of the Audit and Risk Committee | Employment  
  - Executive Director of Policy, Solicitors Regulation Authority (remunerated)  
  - Non-Executive Board Member, WM Housing (registered Social Landlord) (remunerated)  
  Memberships/associations  
  - Ordinary member of Which?  
  - Labour Party Member |
<table>
<thead>
<tr>
<th>Name and Position</th>
<th>Position</th>
<th>Declared Interest(s)</th>
</tr>
</thead>
</table>
| Geraldine Campbell                   | Council Member and Chair of the Remuneration Committee | **Employment**  
  - The Northern Ireland Social Care Council, Belfast (remunerated)  
  - Lay Representative (on behalf of the Dean), Northern Ireland Medical Dental Training Agency (remunerated)  
  **Memberships/associations**  
  - Member of the Education Review Group (short-term Task and Finish Group) |
| Jeyanthi John                        | Council Member                                 | **Employment**  
  - Consultant in Dental Public Health, Public Health England (remunerated)  
  - Honorary Sc Lecturer, King’s College London (non-remunerated)  
  - Board Member, Southampton City College – Chair of Search and Governance Committee and Lead Governor for Safeguarding and Prevent (non-remunerated)  
  **Memberships/associations**  
  - Member, British Dental Association  
  - Fellow, Faculty of Public Health  
  - Member, British Association for the Study of Community Dentistry |
| Kirstie Moons                        | Council Member and Chair of the Policy and Research Board | **Employment**  
  - Associate Director for DCP Education, Wales Deanery, Cardiff University (remunerated)  
  - Member of the Welsh Dental Committee representing DCPs (non-remunerated)  
  - School Governor for Islwyn High School (non-remunerated)  
  **Memberships/associations**  
  - Faculty of General Dental Practice  
  - Royal Society of Medicine  
  - Member of the Education Review Group (short-term Task and Finish Group) |
| Lawrence Mudford                     | Council Member                                 | **Employment**  
  - Postgraduate Tutor, HEEKSS (remunerated)  
  - Course Director, Diploma in Clinical Dental Technology HEEKSS (remunerated)  
  - MSc Supervisor, University of Kent (remunerated)  
  - Specialist Associate Lecturer, University of Kent (remunerated)  
  - Dental Lecturer on NHS and Private Courses (remunerated)  
  - Volunteer Lecturer for Charity Teeth Relief (non-remunerated)  
  **Memberships/associations**  
  - Faculty of General Dental Practice  
  - Royal Society of Medicine  
  - Member of the Education Review Group (short-term Task and Finish Group) |
| Margaret Kellett                     | Council Member                                 | **Employment**  
  - Consultant in Restorative Dentistry, Leeds Dental Institute (remunerated)  
  - Honorary Senior Clinical Lecturer at the School of Dentistry, Leeds (remunerated)  
  - Occasional dental legal expert reports (remunerated)  
  - Trustee of the Oral and Dental Research Charity and Honorary Secretary (non-remunerated)  
  - President Elect of the Manchester Dental Alumni Society (SOMANDA) (non-remunerated)  
  - Clinical Research Collaborator, Colgate (non-remunerated) |
<table>
<thead>
<tr>
<th>Name and Position</th>
<th>Position</th>
<th>Declared Interest(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sheila Kumar</td>
<td>Council Member</td>
<td>Employment&lt;br&gt;- CEO, Council for Licensed Conveyors (regulatory body) (remunerated)</td>
</tr>
<tr>
<td>Terry Babbs</td>
<td>Council Member and Chair of the Finance and Performance Committee</td>
<td>Employment&lt;br&gt;- Non-Executive Director, Legal Services Board (remunerated)&lt;br&gt;- Member of Investment Board, Oxfam Enterprise Development Programme (non-remunerated)&lt;br&gt;- Trustee and Non-Executive Chair, Partner Africa (non-remunerated)&lt;br&gt;- Trustee, Hertford County Yacht Club (non-remunerated)&lt;br&gt;Connected person(s)&lt;br&gt;- Son – Executive Director of campaign group 38 Degrees</td>
</tr>
</tbody>
</table>
### 7. Risks and considerations

<table>
<thead>
<tr>
<th>Communications</th>
</tr>
</thead>
<tbody>
<tr>
<td>The recent Internal Compliance audit of the GDC’s managing interests process reported a ‘Satisfactory’ level of assurance. Therefore, this report does not carry any potential negative impact on our reputation or relationship with patients, professionals or partners.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Equality and Diversity</th>
</tr>
</thead>
<tbody>
<tr>
<td>The managing interests process for Council members applies equally to all Council members.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Legal</th>
</tr>
</thead>
<tbody>
<tr>
<td>The GDC’s approach to managing interests of Council members is in accordance with Sections 175 to 177 and 182 to 187 of the Companies Act 2006 and the Nolan Principles.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Policy</th>
</tr>
</thead>
<tbody>
<tr>
<td>The managing interests process for Council members ensures that decision making by the GDC is pursuant of the Nolan Principles and in the best interests of patients, professionals and partners.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Resources</th>
</tr>
</thead>
<tbody>
<tr>
<td>There are no cost implications.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>National</th>
</tr>
</thead>
<tbody>
<tr>
<td>This report and the managing interests process for Council members does not impact differently on the four nations.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Risks on registers</th>
</tr>
</thead>
<tbody>
<tr>
<td>This report does not highlight any risks for escalation to the strategic or operational risk registers.</td>
</tr>
</tbody>
</table>

### 8. Recommendations

8.1. The Council is asked to note the contents of the report.