

# Undertakings Bank

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## Agreeing undertakings

Undertakings are an agreement between the General Dental Council (GDC) and a dentist or dental care professional (DCP) about that person's future conduct or practice. Undertakings may restrict a dentist or DCP's practice, or may make positive requirements, for example, committing the dentist or DCP to undergo retraining in a particular area of practice.

This document sets out the wording that decision makers<sup>1</sup> should use when agreeing undertakings and should be read in conjunction with the GDC Glossary of Terms which can be found on our website. The notes provided should be used to assist decision makers to tailor undertakings specifically to the dentist or DCP. Although undertakings can be agreed with all dental professionals, decision makers should bear in mind that certain undertakings may not be appropriate to some categories of the Register.

Any costs incurred in fulfilling undertakings are to be met by the dental professional. The dental professional may only be assisted by the Postgraduate Dental Dean or nominated deputy with their agreement and only where they are in a position to do so.

## Which undertakings are confidential?

Most undertakings are not confidential, which means we publish them on the online Register and disclose them to people who ask for them. These undertakings are assigned the letters 'NC' for 'non confidential' in the tables below.

There are some undertakings, particularly those that relate to the dental professional's health that are confidential. We do not publish these undertakings on the online Register and we do not disclose them when asked. These undertakings are assigned a 'C' for 'confidential' in the tables below.

## Exceptions

From 18 January 2016, a change in European legislation\* means that we will share public restrictions with other European dental regulators. In some cases, where the restriction relates to the health of a dentist or DCP, we may have to disclose further details in response to a specific request. If we do have to share this information, you will be informed.

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<sup>1</sup> Case Examiners or the Investigating Committee

## Notes for decision makers

When drafting the decision:

- undertakings 1–8 should go before all publicly-available undertakings;
- undertakings 9 and 10 should be the last publicly-available undertakings; and
- confidential undertakings should be placed after the list of publicly-available undertakings

Any reference to ‘days’ is to mean calendar days, not working days.

Text marked in grey requires the undertaking to be tailored.

This bank has been written in the male pronoun. A bank written in the female pronoun is also available.

\*The change in the law means that the GDC has to send alerts to European dental regulators or other competent authorities about restrictions on a dentist or DCP's registration that affect their practice. This change was set out in the Directive 2005/36/EC of the European Parliament and the Council on the Recognition of Professional Qualifications (as amended by Directive 2013/55/EU) and the Commission Implementing Regulation (EU) 2015/983 of 24 June 2015.

GENERAL DENTAL COUNCIL		Confidential (C)/ Non-confidential (NC)	Notes for decision maker
Undertakings Bank			
1. STANDARD UNDERTAKINGS			
Undertakings for inclusion in all determinations. Numbers UT9 & UT10 should always be placed at the end of the list of undertakings.			
UT1	<p>She must notify the GDC within [X] days of any professional appointment she accepts or is currently undertaking and provide the contact details of her employer or any organisation for which she has a contract or arrangement to provide dental services [and details of all the NHS regional teams/Health Boards with whom she has an arrangement, either as a contract holder or by being on their Dental Performers' List].</p> <p><b>OR if self-employed:</b></p> <p>She must notify the GDC within [X] days of all of her professional appointments and provide the contact details of any organisation for which she has a contract or arrangement to provide dental services [and details of all the NHS regional teams/Health Boards with whom she has an arrangement, either as a contract holder or by being on their Dental Performers' List].</p>	NC	<p>Include bracketed text if working within the NHS.</p> <p>The purpose of this undertaking is to allow the GDC to keep track and ensure that the registrant's employer is aware of her undertakings.</p> <p>If they are self-employed, it ensures that the registrant is still obliged to tell the GDC of her professional arrangements/places of work.</p> <p>The decision maker must choose the appropriate number of days, not "promptly".</p>
UT2	<p>She must allow the GDC to exchange information with her employer or any organisation for which she has a contract or arrangement to provide dental services, and any Postgraduate Dental Dean/nominated deputy, reporter, workplace supervisor or educational supervisor referred to in these undertakings.</p>	NC	<p>If the registrant is self-employed, you should specify from whom you require contact details.</p>

UT3	<p>a. At any time she is providing dental services, which require her to be registered with the GDC, she must agree to the appointment of a reporter nominated by [XXX] and approved by the GDC. The reporter shall be a GDC registrant in the same category of the register as the registrant or higher.</p> <p>b. She must not start/restart work until these arrangements have been approved by the GDC.</p>	NC	<p>Decision maker to decide on nomination of the reporter.</p> <p>The reporter must not be nominated by the Postgraduate Dental Dean/nominated deputy unless they also hold the role of educational supervisor.</p>
UT4	She must provide reports from her reporter to the GDC every [X] months and the GDC will make these reports available to any Postgraduate Dental Dean/nominated deputy, workplace supervisor or educational supervisor referred to in these undertakings on request.	NC	The frequency of the reporting must be decided by the decision maker.
UT5	She must inform the GDC of any formal disciplinary proceedings taken against her from the date these undertakings take effect.	NC	
UT6	She must inform the GDC within 7 days of any complaints made against her from the date these undertakings take effect.	NC	Registrants who are self-employed are unlikely to put themselves through formal procedures. This may be a suitable alternative.
UT7	She must inform the GDC if she applies for dental employment outside the UK within 7 days of the date of application.	NC	
UT8	She must inform the GDC if she is registered or subsequently applies for registration with any other overseas regulator/relevant authority within 7 days of the date of application [for future registration] or 7 days from the date these undertakings take effect [existing registration].	NC	This will allow the GDC to notify any relevant non-EU regulators or relevant authorities.
UT9	<p>She must inform, within [X] days the following parties that her registration is subject to the undertakings listed at (X) to (X), above:</p> <ul style="list-style-type: none"> <li>Any organisation or person employing or contracting with her to undertake dental work</li> <li>Any locum agency or out-of-hours service she is registered with or applies to be registered with (at the time of application)</li> <li>Any prospective employer (at the time of application)</li> <li>The Commissioning Body on whose Dental Performers List she is included or seeking inclusion, or Local Health Board if in Wales, Scotland or Northern Ireland (at the time of application)</li> </ul> <p>She must forward written evidence of her compliance with this undertaking to the GDC within [X] days.</p>	NC	<p>This should always be the penultimate undertaking. Only the non-confidential undertaking numbers should be incorporated into the brackets.</p> <p>The decision maker must choose the appropriate timeframe; this must be a specific number of days, not "promptly".</p>

UT10	She must permit the GDC to disclose the above undertakings, (X) to (X), to any person requesting information about her registration status.	NC	This should always be the last undertaking. Only the non-confidential undertakings numbers should be incorporated into the brackets.
<b>2. PERSONAL DEVELOPMENT PLAN</b>  All registrants with clinical concerns must have an active Personal Development Plan and at least one of these undertakings should normally be included.			
UT11	She must work with a Postgraduate Dental Dean/nominated deputy, to formulate a Personal Development Plan, specifically designed to address the deficiencies in the following areas of her practice:  [ <u>List the areas requiring development</u> ]	NC	Applicable in cases where the allegation raises concerns about the clinical matters.  The decision maker must list the areas which require development.  It is for the Postgraduate Dental Dean/nominated deputy to decide on the most appropriate way of helping the dental professional to address these areas. Assistance from the Postgraduate Dental Dean/nominated deputy is not available in Northern Ireland.  The GDC shall notify the COPDEND secretariat of these undertakings.  If the registrant is unable to access/afford assistance from COPDEND, they could formulate a PDP with the assistance of their educational supervisor/workplace supervisor.
UT12	She must provide a copy of her Personal Development Plan to the GDC within [X] months from the date these undertakings take effect.	NC	The decision maker must specify the length of time.  Applicable in cases where the allegation raises concerns about clinical matters.

UT13	She must meet with the Postgraduate Dental Dean/nominated deputy, on a regular basis to discuss her progress towards achieving the aims set out in her Personal Development Plan. The frequency of her meetings is to be set by the Postgraduate Dental Dean/nominated deputy.	NC	
UT14	She must advise the GDC of the contact details of the Postgraduate Dental Dean/nominated deputy and allow the GDC to exchange information about the standard of her professional performance and her progress towards achieving the aims set out in her Personal Development Plan with the Postgraduate Dental Dean/nominated deputy, and any other person involved in her retraining and supervision.	NC	
UT15	<p>a. At any time that she is employed, or providing dental services, which require her to be registered with the GDC, she must place herself and remain under the supervision of an educational supervisor appointed by the Postgraduate Dental Dean/nominated deputy.</p> <p>b. She must not start/restart work until these arrangements have been put in place and written evidence of compliance with (a) has been provided to the GDC.</p>	NC	The decision maker will need to assess whether (b) is relevant in all cases. This will depend on the seriousness of the case.

### 3. WORKPLACE SUPERVISION

UT16	<p>a. At any time she is employed, or providing dental services, which require her to be registered with the GDC; she must place herself and remain under the <u>direct/close/delete altogether where appropriate</u> supervision of a workplace supervisor nominated by [XXX], and agreed by the GDC. The workplace supervisor shall be a GDC registrant in the same category of the register as the registrant or higher.</p> <p>b. She must not start/restart work until these arrangements have been approved by the GDC.</p>	NC	<p>Please refer to the Glossary of Terms for the definition of a workplace supervisor. The decision maker will need to define the level of supervision it has in mind.</p> <p>The workplace supervisor may be the same person as the reporter (UT3) if appropriate.</p> <p>This undertaking needs to be tailored for different groups of registrants. The workplace supervisor may be nominated by the registrant or, for example, a Commissioning Body, an employer or Local Dental Committee, depending on circumstances of each case.</p> <p>The workplace supervisor must not be nominated by the Postgraduate Dental Dean/nominated deputy.</p>
UT17	She must provide reports from her workplace supervisor to the GDC every [X] months and the GDC will make these reports available to any Postgraduate Dean/nominated deputy or educational supervisor or reporter referred to in these undertakings on request.	NC	<p>In cases where workplace supervision is imposed, the decision maker should also include this undertaking in case the registrant's workplace supervisor and reporter are different people.</p> <p>The decision maker must decide the timeframe for reporting.</p>
UT18	She must keep her professional commitments under review and limit her dental practice in accordance with her workplace supervisor's advice.	NC	



#### 4. UNDERTAKINGS FOR DENTAL PROFESSIONALS WITH HEALTH ISSUES

All dental professionals found to be impaired by reason of ill health could have some or all of the following undertakings attached to their registration.

UT19	<p>a. She must place herself under medical supervision by a named medical supervisor approved by the GDC; attend appointments as arranged; follow his or her advice; comply with his or her recommended treatment.</p> <p>b. She must provide a copy of the full determination to her medical supervisor.</p> <p>c. She must provide reports from her medical supervisor to the GDC every [X] months. She shall advise the GDC of the name of the medical supervisor [X] days after the date of signing these undertakings.</p>	C	<p>This must be directed for all cases where the registrant's fitness to practise is impaired through ill health.</p> <p>Please refer to the Glossary of Terms regarding the role of the medical supervisor; the medical supervisor may not be the treating physician prescribing treatment.</p> <p>The timeframe for reporting must be decided by the decision maker.</p>
UT20	She must allow the GDC to exchange information with her medical supervisor regarding her progress under any treatment, her fitness to practise and her compliance with these undertakings.	C	
UT21	<p>a. Within [X] days from the date these undertakings take effect, she must register with and remain under the care of a general medical practitioner and inform them that she is subject to supervision under the GDC Fitness to Practise procedures, and of these undertakings.</p> <p>b. Within [X] days from the date these undertakings take effect, she must inform her medical supervisor and the GDC of the name and contact details of her general medical practitioner and any other registered general medical practitioner responsible for her care, and to inform those practitioners about her impairing condition and any treatment which she is receiving.</p> <p>c. She must allow her medical supervisor and the GDC to exchange information about her health, (and any treatment she is receiving), with her general practitioner and any other registered medical practitioner responsible for her care, including her occupational health practitioner(s).</p> <p>d. She must inform the GDC within 7 days of any change in her GP registration.</p>	C	<p>The decision maker must choose the appropriate timeframe; this must be a specific number of days, not "promptly".</p>

UT22	<p>a. She must obtain the approval of her medical supervisor before accepting any post for which registration with the GDC is required.</p> <p>b. She must forward written evidence of the approval to the GDC prior to starting any post for which registration with the GDC is required.</p>	C	
UT23	She must keep her professional commitments under review and limit her dental practice in accordance with her medical supervisor's advice.	C/NC	
UT24	<p>a. She must cease work immediately if her medical supervisor advises her to do so.</p> <p>b. She must inform the GDC that she has been advised to stop working within 7 days of being advised to cease.</p>	C/NC	
UT25	She shall not engage in single-handed dental practice and shall only work at premises where another registered [dentist/DCP – delete as appropriate] is working at the same time as she is working and with whom she has made personal contact before she commences treatment of patients at each session.	NC	<p>This undertaking may be used where the decision maker considers it is appropriate.</p> <p>The decision maker must choose the appropriate type of GDC registrant.</p> <p>The effect on registrants who are currently single-handed practitioners will be to stop them working alone. This will be justified in the context of minimising risk to the public and would not prevent the registrant working in another practice.</p>
UT26	She will permit other members of the dental team at her practice to contact her medical supervisor and the GDC immediately if they are concerned about her fitness to practise.	C/NC	

## 5. UNDERTAKINGS FOR ALL DENTAL PROFESSIONALS WITH PERSONAL ALCOHOL AND/OR DRUG MISUSE PROBLEMS

All dental professional found to have impairment as a result of alcohol and/or drug misuse could have some or all of the following undertakings attached to their registration.

UT27	She must comply with arrangements made by, or on behalf of, the GDC for the announced or unannounced testing of breath/blood/urine/saliva/hair/nails for the recent and long-term ingestion of alcohol and/or other drugs. The cost of this testing will be paid for by the GDC.	C	<p>If drugs are involved, the decision maker should specify which specific drugs it wants the GDC to test for. For example, codeine and tramadol.</p> <p>The cost of remediation should be paid for by the registrant. The GDC should only pay for testing if the registrant has adequately demonstrated that they cannot pay.</p> <p><b>This undertaking should be used if it is intended that the GDC should pay for testing.</b></p>
UT28	<p>a. She must make arrangements for the testing of breath/blood/urine/saliva/hair/nails for the recent and long-term ingestion of alcohol and/or other drugs. She will be responsible for the cost of this testing.</p> <p>b. She must provide test results to the GDC on a [X] monthly basis.</p>	C	<p><b>The decision maker should use this undertaking if it is intended that the registrant should pay for their own testing.</b></p> <p>The decision maker should try to assist the registrant by detailing what tests should be undertaken and at what frequency using the medical reports they have been provided with.</p>
UT29	<p>a. She must attend [monthly/bi-monthly] meetings of [Alcoholics Anonymous/Narcotics Anonymous/the Doctors and Dentists Group/any other support group/ individual alcohol/drug counselling], if recommended to do so by her medical supervisor.</p> <p>b. She must provide written evidence of her attendance at such meetings to the GDC every [X] months.</p>	C	<p>If considered appropriate, the decision maker can adapt part (a) of this undertaking to include the frequency of the registrant's attendance at meetings. The decision maker must try and be as specific as possible.</p> <p>The decision maker must decide the timeframe for reporting.</p>

6. PERSONAL ALCOHOL USE			
UT30	a. She must abstain absolutely from the consumption of alcohol. b. If she does drink, he must inform her medical supervisor, her GP and the GDC within 24 hours and follow any advice that any party may give her.	C	This undertaking also applies when she is overseas.
UT31	She must limit her alcohol consumption in accordance with her medical supervisor's advice, abstaining absolutely if required.	C	This undertaking also applies when she is overseas.
7. PERSONAL DRUG USE			
UT32	She must abstain absolutely from the taking of [drugs].	C	The decision maker may wish to adapt this undertaking to suit the circumstances of the case, i.e. specify a certain drug(s).  This undertaking also applies when she is overseas.
UT33	a. She must take drugs only as prescribed for her by her medical supervisor or any registered medical or dental practitioner responsible for her care. b. She must provide copies on a <input checked="" type="checkbox"/> monthly basis of prescriptions provided by any registered medical or dental practitioner responsible for her care.	C	This undertaking also applies when she is overseas.
UT34	She must refrain from self-medication, (apart from over the counter drugs which do not require a prescription), and take drugs only as prescribed for her by her general practitioner or any registered medical or dental practitioner responsible for her care.	C	This undertaking also applies when she is overseas.
UT35	She must refrain from all forms of self-medication, (including over the counter drugs which do not require a prescription), and take drugs only as prescribed for her by her medical supervisor or any registered medical or dental practitioner responsible for her care.	C	This undertaking also includes any over the counter drugs that can be bought online. It should only be used when absolutely necessary.  This undertaking also applies when she is overseas.

UT36	She must not possess those drugs listed in schedules 1-4 of the <i>Misuse of Drugs Regulations 2001</i> , (as may from time to time be amended) and only take drugs prescribed or administered for her (including over the counter drugs that do not require a prescription in the UK) by a registered medical or dental practitioner responsible for her care.	C	This undertaking includes any over the counter drugs that can be bought online.  This undertaking also applies when she is overseas.
UT37	a. She must not take drugs listed in schedules 1-4 of the <i>Misuse of Drugs Regulations 2001</i> (as may from time to time be amended) unless prescribed or administered for her by a registered medical or dental practitioner responsible for her care.  b. She must inform the GDC that she has been prescribed or administered a drug listed in the schedules 1-4 <i>Misuse of Drugs Regulations 2001</i> , and the circumstances of this, within 7 days.	C	This undertaking includes any over the counter drugs that can be bought online.  This undertaking also applies when she is overseas.
UT38	a. She must only prescribe drugs under arrangements which have been agreed by her medical supervisor and notified in advance to the GDC.  b. She must not start/restart work until these arrangements have been agreed and the GDC has been informed of these arrangements.	C	The medical supervisor may need to liaise with the registrant's workplace to determine what these arrangements should be.
<b>8. TREATING PSYCHIATRIST</b>			
UT39	She must remain under the care of a treating psychiatrist and must allow her medical supervisor and the GDC to exchange information with them about her condition and the treatment she is receiving.	C	
<b>9. PRESCRIBING PRACTICES</b>			
UT40	She must not prescribe those drugs listed in schedules 1-4 of the <i>Misuse of Drugs Regulations 2001</i> , as may from time to time be amended.	NC	
UT41	She must not keep psychoactive drugs including opiate analgesics and benzodiazepines, except for those drugs required for the treatment of medical emergencies.	NC	
UT42	She must not administer or prescribe benzodiazepines or other sedating drugs.	NC	

UT43	The practice arrangements shall be such that she shall not have any direct or indirect access to benzodiazepines or other sedating drugs.	NC	
UT44	She must not prescribe for herself, a partner/spouse, or a member of her family.	NC	
UT45	She must not prescribe any drugs.	NC	
<b>10. PRACTICE RESTRCITIONS – WORKING ARRANGEMENTS</b>			
UT46	She must confine her dental practice to general practice posts [in a partnership/group practice] of at least 3 members.	NC	The registrant must work in a partnership or group practice of at least 3 members, excluding her.
UT47	She must confine her dental practice to general practice posts as a [salaried GDP/Associate/Assistant] under the supervision of a named [Trainer/Principal].	NC	
UT48	She must confine her dental practice to National Health Service [training/supernumerary] posts in [nominated specialties], where her work will be supervised by a named Consultant.	NC	
UT49	She must confine her dental practice to posts [in dental research/public health medicine], where her work will be [directly/closely/delete altogether where appropriate] supervised by named dental practitioner of Consultant grade.	NC	<p>This undertaking might be used where the undertakings are aimed at a registrant whose work does not involve treating patients but who may still come into contact with them.</p> <p>The level of supervision must be defined. Please see the Glossary of Terms.</p>
UT50	She must refrain from all forms of dental practice which involve her directly or indirectly in the clinical care of individual patients.	NC	This undertaking might be used in a case where the registrant has been involved in research/non-clinical dentistry and the decision maker wants to ensure that she does not treat patients.
UT51	She must not be responsible for the [administration/management] of any dental practice.	NC	
UT52	She must limit her dental practice to [X] sessions per week.	NC	The decision maker must decide the number of sessions.

UT53	She must engage in dental practice only at a practice she does not own, at premises where another dentist or dentists are working at the same time as her, and with whom she has made personal contact before she starts treating patients.	NC	<p>The registrant can still own a practice, but cannot work there.</p> <p>The decision maker may consider this undertaking appropriate in ensuring the registrant's status does not interfere with any workplace supervision and/or reporting required by the undertakings.</p>
<b>11. PRACTICE RESTRICTIONS – PATIENT SAFETY</b>			
UT54	She must agree to the appointment of a chaperone, nominated by her and approved by the GDC within 7 days of signing these undertakings. The chaperone must be a registered [dentist/DCP - please delete as appropriate].	NC	
UT55	Except in life threatening emergencies, she must not undertake consultations with [female/male/patients under the age of 18 years] patients without the chaperone present.	NC	
UT56	<p>a. She must maintain a log of all consultations with such patients, which must be signed by the chaperone confirming the chaperone's presence throughout the consultation.</p> <p>b. She must provide a copy of these logs to the GDC every [X] months.</p>	NC	
UT57	<p>a. She must maintain a log detailing every case where she has undertaken a consultation with such a patient in a life-threatening emergency, without the chaperone present.</p> <p>b. She must provide a copy of these logs to the GDC every [X] months or alternatively, confirm there have been no cases.</p>	NC	

12. PRACTICE RESTRICTIONS – LOCUM WORK			
UT58	<p>a. She must not work as a locum or undertake any out-of-hours work or on-call duties without the prior written agreement of her workplace supervisor/reporter.</p> <p>b. She must provide the written agreement from her workplace supervisor/reporter to the GDC at least 24 hours prior to any locum, out-of-hours or on-call duties commencing.</p>	NC	The decision maker must determine who will provide written agreement.
UT59	She must not undertake any locum posts of less than [X] months duration.	NC	The decision maker must determine the timeframe.
13. PRACTICE RESTRICTIONS - SCOPE OF PRACTICE			
UT60	She must refrain from carrying out [name of procedure/certain types of practice, e.g. sedation]	NC	
UT61	<p>a. She must not carry out [name of procedure] unless directly supervised by a consultant [specialty].</p> <p>b. She must maintain a log detailing every case where she has undertaken [name of procedure].</p> <p>c. She must provide a copy of this log to the GDC every [X] months or, alternatively, confirm that there have been no such cases during that period.</p>	NC	This undertaking applies to registrants who work or are proposing to work in a secondary dental care setting where more specialised dental services are provided (e.g. oral surgery, complicated root canal and bridge work etc.).
14. DENTAL PROFESSIONALS CURRENTLY LIVING OUTSIDE THE UK			
UT62	She must inform the GDC within 7 days of her return to the UK. The following undertakings/undertakings [X] to [X] can only be fulfilled in the UK and the monitoring of her compliance of these undertakings will start from the date of her return.	NC	This must be the first undertaking for all dental professionals who are currently residing outside of the UK unless there are undertakings relating to the health of the registrant.
UT63	She must inform the GDC within 7 days of her return to the UK.	NC	



15. AUDITING AREAS OF PRACTICE			
UT64	<p>a. She shall carry out an audit of [XXX]. The audit must be signed by her [workplace supervisor/reporter].</p> <p>b. She must provide a copy of this audit to the GDC every [x] months or, alternatively, confirm that there have been no such cases.</p>	NC	The decision maker must outline the area to be audited and the professional signing it off.
UT65	<p>a. She must maintain an audit of all her prescriptions for controlled drugs. The audit must be signed by her [workplace supervisor/reporter]</p> <p>b. She must provide a copy of this audit to the GDC every [X] months or, alternatively, confirm that there have been no such cases.</p>	NC	<p>'Controlled drugs' as defined by the British Dental Formulary.</p> <p>The decision maker must decide which professional will sign off the audits and how frequently they need to be provide to the GDC.</p>
UT66	<p>a. She must undertake at least [X] audit(s) of [insert area of practice] within the next [X] months. The audit(s) must be signed by her [workplace supervisor/reporter].</p> <p>b. She must provide copies of these audits to the GDC every [X] months.</p>	NC	<p>The decision maker must decide number of audits, the area the audits will focus on and which professional will sign them off.</p> <p>The decision maker must also decide how often those audits should be submitted to the GDC.</p>
UT67	<p>a. She must develop and monitor practice protocols in respect of [XXX].</p> <p>b. She must provide written evidence to the GDC of the steps she has taken to develop and monitor practice protocols as outlined in (a) above, within [X] months from the date these undertakings take effect.</p>	NC	<p>The decision maker must decide the area the protocols should cover for example, complaints management.</p> <p>The decision maker must decide the frequency that information must be submitted to the GDC.</p>
UT68	<p>a. She must carry out an audit of all patients to whom she has [XXX]. The audit must be signed by her [workplace supervisor/reporter].</p> <p>b. She must provide a copy of this audit to the GDC every [X] months or, alternatively, confirm that there have been no such cases.</p>	NC	<p>The decision maker must decide number of audits, the area the audits will focus on and which professional will sign them off.</p> <p>The decision maker must also decide how often those audits should be submitted to the GDC.</p>

## Misuse of Drugs Regulations 2001

Drugs controlled under the Misuse of Drugs Act 1971 are placed into 5 categories referred to in 'schedules 1-5' of the Misuse of Drugs Regulations 2001. The categorisation is based on their medicinal or therapeutic usefulness and the need for legitimate access and the potential harm they can cause when misused. The more harmful the drug could be if misused, the higher up the schedule and the stronger the controls around its availability.

### Schedule 1

- Drugs that have no therapeutic value and their production, possession and supply are limited to research or other special purposes.
- Examples include cannabis and MDMA (ecstasy).

### Schedule 2

- Drugs that have therapeutic value but are highly addictive.
- These drugs are strictly controlled and subject to special requirements relating to their prescription, dispensing, recording and safe custody.
- Examples include potent opioids such as morphine and diamorphine.

### Schedule 3

- Drugs which are less likely to be misused than the drugs in Schedule 2, or are less harmful if misused.
- There will still be special requirements relating to their prescription and dispensing but they do not need to be kept in safe custody or be recorded in a controlled drugs register.
- Examples include tramadol, temazepam, midazolam, buprenorphine and methylphenobarbitone.

### Schedule 4

- Part 1 covers benzodiazepines (for example diazepam (valium) but excluding temazepam and midazolam which are in Schedule 3).
- Part 2 covers anabolic and androgenic steroids (for example prasterone, testosterone and nandrolone).
- These are subject to lighter regulation, being exempt from safe custody and prescription writing requirements.

### Schedule 5

- Weaker preparations of Schedule 2 drugs that present little risk of misuse.
- These can be sold over the counter in Pharmacies without prescription.
- Examples include codeine, medicinal opium or morphine (less than 0.2% concentration).

For a full list of the drugs classified in each schedule, please refer directly to current legislation. Information correct at the time of writing.