

IOC CONDITIONS BANK

INDEX

	Pages
1. Conditions which are normally included in all determinations of conditional registration.....	1
2. Alleged health issues.....	2
3. Alleged personal alcohol and/or drug misuse problems.....	2
4. Alleged personal alcohol misuse.....	2
5. Alleged personal drug misuse.....	3
6. Treating Psychiatrists.....	3
7. Prescribing practices.....	3
8. Practice restrictions – General work	4
9. Practice restrictions – specific procedures	5
10. Dental professionals currently living outside the UK	5
11. Auditing areas of practice.....	6
12. Dental professionals working privately	6

Where interim conditions are being considered, they should be designed to allow a registrant to continue practising whilst minimising the risk to the public in the areas of concern.

The IOC should be more concerned with risk management and protection of the public, than with guiding and assisting the registrant towards remediation of deficiencies in his/her practice. That is more the province of the Practice Committee.

The IOC must ensure that any conditions imposed are workable, enforceable and will protect the public, the wider public interest and/or the dental professional's interests. Conditions should normally follow the format of conditions set out in the IOC conditions bank. However, conditions should always be tailored to fit the specific circumstances of each case.

The IOC should also bear in mind that certain conditions may not be appropriate to some categories of registrant.

	GENERAL DENTAL COUNCIL IOC Conditions Bank	Confidential (C) Non- confidential (NC)	Notes column The Committee may choose as many conditions from the bank as they feel appropriate and justified. The bank is split into separate sections to facilitate committees choosing appropriate conditions.
1.	CONDITIONS WHICH ARE NORMALLY INCLUDED IN ALL DETERMINATIONS OF CONDITIONAL REGISTRATION Numbers 1-4 should always precede all other conditions. Numbers 5 and 6 should always be placed at the end of the list of conditions.		
IOC 1	He must notify the GDC promptly of any post he accepts for which GDC registration is required [<i>and the PCT on whose Dental Performers List he is included</i>].	NC	Include relevant bracketed text if working within the NHS. Scotland has Health Boards, Wales, Local Health Boards and Northern Ireland just a single Health and Social Care Board.
IOC 2	If employed, he must provide contact details of his employer and allow the GDC to exchange information with his employer or any contracting body for which he provides dental services.	NC	If the registrant is self employed you should specify from whom you require contact details.
IOC 3	He must inform the GDC of any formal disciplinary proceedings taken against him, from the date of this determination.	NC	
IOC 4	He must inform the GDC if he applies for dental employment outside the UK.	NC	
IOC 5	He must inform [<i>promptly/ within 1 week etc</i>] the following parties that his registration is subject to the conditions, listed at () to (), above: <ul style="list-style-type: none"> • Any organisation or person employing or contracting with him to undertake dental work • Any locum agency or out-of-hours service he is registered with or applies to be registered with (at the time of application) • Any prospective employer (at the time of application) • [<i>The PCT in whose Dental Performers List he is included, or</i> 	NC	Always 2nd to last condition. All non-confidential conditions should be incorporated into the brackets in this condition. The Committee to choose the appropriate time. Include bracket text if working within the NHS.

	<i>seeking inclusion (at the time of application)]</i>		
IOC 6	He must permit the GDC to disclose the above conditions, () to (), to any person requesting information about his registration status.	NC	Always last condition. All non-confidential conditions should be incorporated into the brackets in this condition.
2.	ALLEGED HEALTH ISSUES		
	Where there are alleged issues about the dental professional's health, the IOC should bear in mind that its principal duty is to protect members of the public and the wider public interest, and not to assume responsibility for, or give priority to, the treatment or rehabilitation of the dental professional. Nonetheless, where the IOC considers it appropriate to impose an order for interim conditions, these may include conditions relating to the ongoing treatment and supervision of the dental professional.		
IOC 7	a. He must remain under the care of a general medical practitioner and inform them that he is subject to investigation, and of these conditions; b. He must inform the GDC of the name and contact details of his general medical practitioner and any other medical practitioner responsible for his care; c. He must allow the GDC to exchange information about his health and any treatment he is receiving, with any registered medical practitioner responsible for his care.	C	
IOC 8	He must seek a report from any person advising the GDC in relation to his fitness to practise, for consideration by this Committee, prior to any review hearing.	C/NC	Depending upon whether health issue is referred to.
IOC 9	He must keep his professional commitments under review and limit his dental practice in accordance with medical advice.	C/NC	Depending upon whether health issue is referred to.
3.	CONDITIONS FOR ALL DENTAL PROFESSIONALS WITH ALLEGED PERSONAL ALCOHOL AND/OR DRUG MISUSE PROBLEMS		
IOC 10	He must comply with any arrangements made for the [announced/unannounced] testing, of breath/blood/urine/saliva/hair, for the recent and long-term ingestion of alcohol and other drugs.	C	
IOC 11	a. He must attend regularly meetings of [Alcoholics Anonymous/Narcotics Anonymous/the Doctors and Dentists Group/any other support group/individual alcohol/drug counselling], if recommended to do so by his [General Medical Practitioner/Occupational Health practitioner/treating	C	

	<i>consultant/treating psychiatrist</i> b. He must provide evidence of his attendance at such meetings to the GDC, prior to any review hearing.		
4.	ALLEGED PERSONAL ALCOHOL MISUSE		
IOC 12	He must abstain absolutely from the consumption of alcohol.	C	
IOC 13	He must limit his alcohol consumption in accordance with medical advice, abstaining absolutely if so advised.	C	
5.	ALLEGED PERSONAL DRUG MISUSE		
IOC 14	He must abstain absolutely from the taking of drugs.	C	
IOC 15	He must take drugs only as prescribed for him by any registered medical or dental practitioner responsible for his care.	C	
IOC 16	He must refrain from self-medication, <i>[apart from/including]</i> over the counter drugs which do not require a prescription, and take drugs only as prescribed for him by any registered medical or dental practitioner responsible for his care.	C	
IOC 17	He must not possess those drugs listed in Schedules 1 - 3 of the Misuse of Drugs Regulations 2001, as may from time to time be amended.	C	
6.	TREATING PSYCHIATRISTS		
IOC 18	a. He must remain under the care of his Consultant Psychiatrist and must allow the GDC to exchange information with them about his health and the treatment he is receiving. b. He must seek a report from his Consultant Psychiatrist, for consideration by this Committee, prior to any review hearing.	C	
7.	PRESCRIBING PRACTICES		
IOC 19	He must not prescribe those drugs listed in Schedules 1 - 3 of the Misuse of Drugs Regulations 2001, as may from time to time be amended.	NC	
IOC 20	He must not keep psychoactive drugs including opiate analgesics and benzodiazepines, except for those drugs required for the treatment of medical emergencies.	NC	
IOC 21	He must not administer or prescribe benzodiazepines or other sedating drugs.	NC	

IOC 22	The practice arrangements shall be such that he shall not have any direct or indirect access to benzodiazepines or other sedating drugs.	NC	
IOC 23	He must not prescribe for himself, or a member of his family.	NC	
IOC 24	He must not prescribe any drugs.	NC	
8.	PRACTICE RESTRICTIONS – GENERAL WORK		
IOC 25	He must not engage in single-handed dental practice. [<i>and must only work at premises where another dental professional or dental professionals are working at the same time as he is working and with whom he has made personal contact before he commences treatment of patients at each session</i>].	NC	Tailor the words in the brackets to the individual circumstances.
IOC 26	He must confine his dental practice to general practice posts [<i>in a partnership / group practice / of at least 3 members etc</i>].	NC	
IOC 27	a. He must confine his dental practice to general practice posts as a [<i>salaried GDP /Associate/Assistant</i>] under the supervision of a named [<i>Trainer/Principal</i>]. b. He must seek a report from his supervisor, for consideration by this Committee, prior to any review hearing.	NC	The level and type of supervision would need to be defined. You may need to tailor this for different groups of registrants.
IOC 28	a. He must confine his dental practice to National Health Service [<i>training / supernumerary</i>] posts in [<i>nominated specialities</i>], where his work will be supervised by a named Consultant. b. He must seek a report from his supervisor, for consideration by this Committee, prior to any review hearing.	NC	
IOC 29	a. He must confine his dental practice to posts [<i>in dental research / public health medicine</i>], where his work will be supervised by a named Consultant. b. He must seek a report from his supervisor, for consideration by this Committee, prior to any review hearing.	NC	Non-clinical posts. The level and type of supervision would need to be defined.
IOC 30	He must refrain from all forms of dental practice which involve him [<i>directly/indirectly</i>] in the clinical care of patients.	NC	

IOC 31	He must confine his dental practice to posts within the National Health Service and not undertake any private practice.	NC	
IOC 32	He must not be responsible for the administration of any dental practice.	NC	
IOC 33	He must limit his dental practice to [x] sessions per week.	NC	A session may be defined differently dependent upon the type of work in which the registrant is engaged.
9.	PRACTICE RESTRICTIONS – SPECIFIC PROCEDURES		
IOC 34	He must refrain from certain types of practice, [e.g. <i>sedation, complicated procedures, etc.</i>]	NC	Tailor the words in the brackets to the individual circumstances.
IOC 35	He must not carry out [<i>name of procedure</i>].		
IOC 36	<p>a. He must not carry out [<i>name of procedure</i>] unless [<i>directly</i>] supervised by a Consultant [<i>specialty</i>].</p> <p>b. He must maintain a log detailing every case where he has undertaken [<i>name of procedure</i>], which must be signed by the supervising Consultant.</p> <p>c. He must provide a copy of this log to the GDC prior to any review.</p>	NC	The level and type of supervision would need to be defined. Direct supervision in this context means to be observed whilst carrying out the specified activity.
IOC 37	<p>a. Except in life threatening emergencies, he must not undertake consultations with [<i>male patients / female patients / patients under the age of x</i>] without a chaperone present. The chaperone must be a fully registered healthcare practitioner.</p> <p>b. He must maintain a log detailing every case where he has undertaken a consultation with such a patient, which must be signed by the chaperone.</p> <p>c. He must maintain a log detailing every case where he has undertaken a consultation with such a patient in a life-threatening emergency, without a chaperone present.</p> <p>d. He must provide a copy of these logs to the GDC prior to any review or alternatively, confirm that there have been no such cases.</p>	NC	
IOC 38	He must not undertake any out-of-hours work or on-call duties.	NC	
IOC 39	He must not work as a locum or undertake any out-of-hours work or on-call duties.	NC	

10.	DENTAL PROFESSIONALS CURRENTLY LIVING OUTSIDE THE UK		
IOC 40	He must inform the GDC within 7 days of his return to the UK. For confirmation, the following conditions can only be fulfilled in the UK and the monitoring of his compliance of these conditions will start from the date of his return.	NC	For dental professionals who are currently residing outside the UK. This must be the first condition.
11.	MAINTAINING LOGS		
IOC 41	a. He shall maintain a log detailing every case where [...] b. He must provide a copy of this log to the GDC prior to any review or alternatively, confirm that there have been no such cases.	NC	
IOC 42	a. He must maintain a log of all his prescriptions for controlled drugs. b. He must provide a copy of this log to the GDC prior to any review or alternatively, confirm that there have been no such cases.	NC	
IOC 43	a. He must maintain a log of all patients to whom he has [<i>issued repeat prescriptions etc</i>]. b. He must provide a copy of this log to the GDC prior to any review or alternatively, confirm that there have been no such cases.	NC	
12.	DENTAL PROFESSIONALS WORKING PRIVATELY		
IOC 44	He must inform the Regional Director of Public Health that he is subject to investigation by the GDC and of the conditions, () to (), above.	NC	